

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Form for new nonprovisional applications under 37 C.F.R. 1.53(b)

| | |
|------------------------|---|
| Attorney Docket No. | NTL-3.2.172/3666 |
| First Inventor | Brian B. EGAN et al |
| Title | VOICE OPTIMIZATION IN A NETWORK HAVING VOICE OVER INTERNET PROTOCOL COMMUNICATION DEVICES |
| Express Mail Label No. | EL694432817US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17) (DUPLICATE)
(submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [18]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) Total Pages [5] (Figs.1-3)
4. Oath or Declaration Total Pages [2]
- a. ☒ New executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR § 1.63(d)
(for continuation/divisional with box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of IDS Citations
Statement (IDS)PTO-1449
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
13. ☐ *Small Entity ☐ Statement filed in prior application
Statement(s) (PTO/SB/09-12) Status still proper and
desired
14. ☒ Request for Certification Under 35 USC 122(b)(2)(B)(i)
15. ☒ Other: Check: \$858.00

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY
SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED
(37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS
RELIED UPON (37 C.F.R. § 1.26).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

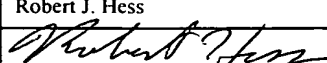
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: ____ / ____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS. Only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label : or ☒ Correspondence address below
(Insert Customer No. Or Attach bar code label here) :

| | | | | | |
|---------|--------------------------------|-----------|----------------|----------|----------------|
| Name | COBRIN & GITTES | | | | |
| Address | 750 Lexington Avenue, 21 floor | | | | |
| City | New York | State | New York | Zip Code | 10022 |
| Country | U.S.A. | Telephone | (212) 486-4000 | Fax | (212) 486-4007 |

| | | | |
|-------------------|---|-----------------------------------|-------------------|
| Name (Print/Type) | Robert J. Hess | Registration No. (Attorney/Agent) | 32,139 |
| Signature |  | Date | December 28, 2000 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments
on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC
20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application,
Washington, D.C. 20231.

G:\Apps\WPDATA\NORTEL\3666\Transmit-App.wpd

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$ 858.00)

Application Number To be assigned
 Filing Date Concurrently herewith
 First Named Inventor Brian B. EGAN
 Group Art Unit To be assigned
 Examiner To be assigned

Attorney Docket Number NTL-3.2.172/3666

PTO
09/15/06
12/26/08

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 03-2317

Deposit Account Name: COBRIN & GITTES

☐ Charge any additional fee required under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|-------|--------------|-------|--|----------|
| Code | (\$) | Code | (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - Late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | Request for Reexamination | |

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|------|--------------|------|------------------------|-----------|
| Code | (\$) | Code | (\$) | | |
| 101 | 710 | 201 | 355 | Utility filing fee | \$ 710.00 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 th | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | \$ 710.00 |

2. EXTRA CLAIM FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|------|--------------|------|---|-------------|
| Code | (\$) | Code | (\$) | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid | |
| 109 | 80 | 209 | 40 | **Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | (\$ 108.00) |

Other fee (specify) _____
 *Reduced by Basic filing fee Paid **SUBTOTAL (3)** \$ 40.00

SUBMITTED BY

Typed or Printed Name Robert J. Hess

Signature *Robert J. Hess*

Complete (if applicable)

Registration Number 32,139

Date December 28, 2000

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMS control number.

| | | |
|--|----------------------|---|
| <p style="text-align: center;">REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</p> | First Named Inventor | Brian B. Egan et al. |
| | Title | Voice Optimization in a Network Having Voice Over Internet Protocol Communication Devices |
| | Atty Docket Number | NTL-3.2.172/3666 |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 28, 2000

Date _____

Robert Lee

Signature

Robert Hess

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing withing forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).